

# Your sanctions list checks – reliable and easy

## FORMAT-SAM: Sanctions Monitor

Sanctions list checks are intended to ensure that you do not conduct business with individuals, organizations, suppliers or customers who are listed on an anti-terrorism list or a sanctions list. If you provide economic goods to a sanctioned natural person or legal entity, you may be breaching law.

Beginning 2023, Germany installed a central office for the enforcement of sanctions. In parallel, the German Foreign Trade and Payments Act (AWG) was adapted. The amended Section 5a of the AWG now leads to an immediate implementation of UN embargolists into applicable law. This effectively means that german companies are obligated to comply with UN sanctions as soon as they are published.

### Use functions

Using FORMAT-SAM ensures compliance by automatically comparing personal and company addresses with your individual selection of sanctions lists.

FORMAT-SAM's search algorithm is one of the most advanced in the entire market. It actively monitors your database, using multiple checking strategies, displaying potential matches and/or suspected cases based on adjustable match ratios. This minimizes errors and false alarms, enhancing match reliability and business security.

FORMAT's Mission is to continuously improve data quality by collaborating with national and international authorities. Our dedicated Content Team reviews sanction lists and regulations immediately after release of updates and cross checks the contained information with legally binding documents. We also feedback any flaws and errors back to authorities. This ensures that our customers are always operating on basis of most current and reliable data. Sanctions list updates are implemented into FORMAT-SAM within 24 hours fully automated with no impact to customer operations.

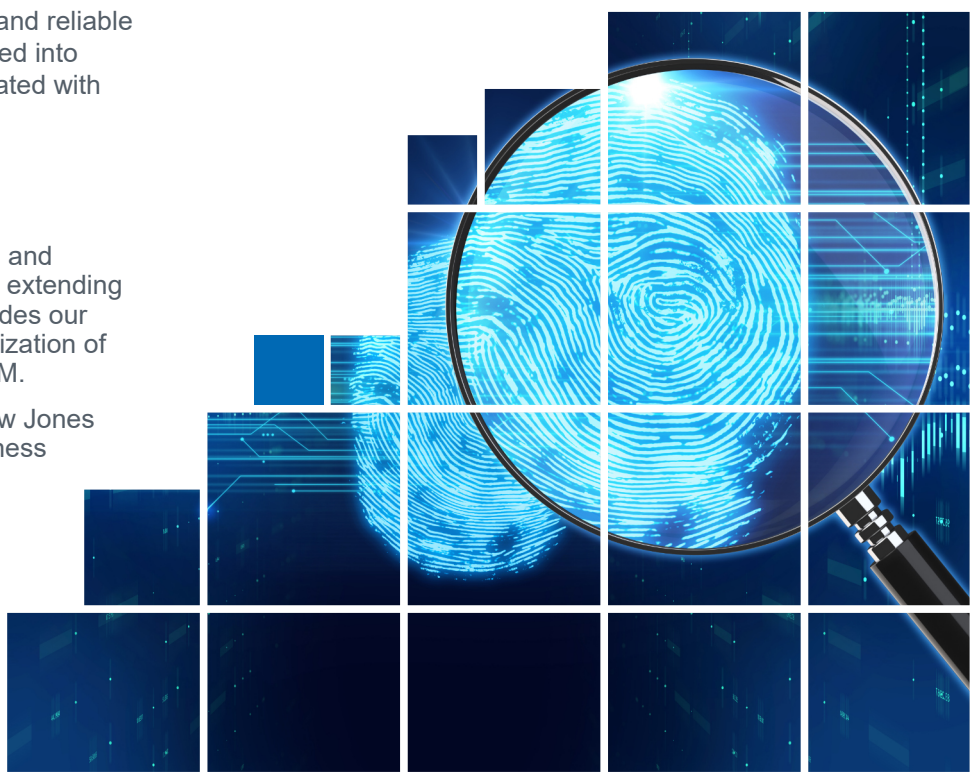
### AVA: Extending your knowledge base

AVA (Advanced Visual Analyzer for Entities and Relationship)) is an optional feature further extending our customers knowledge base. AVA provides our customers with a clear and concise visualization of entities previously scanned in FORMAT-SAM.

As an option, AVA, in collaboration with Dow Jones Risk & Compliance (SCO), visualizes business connections a sanctioned entity has with uncritical and/or non-sanctioned entities.

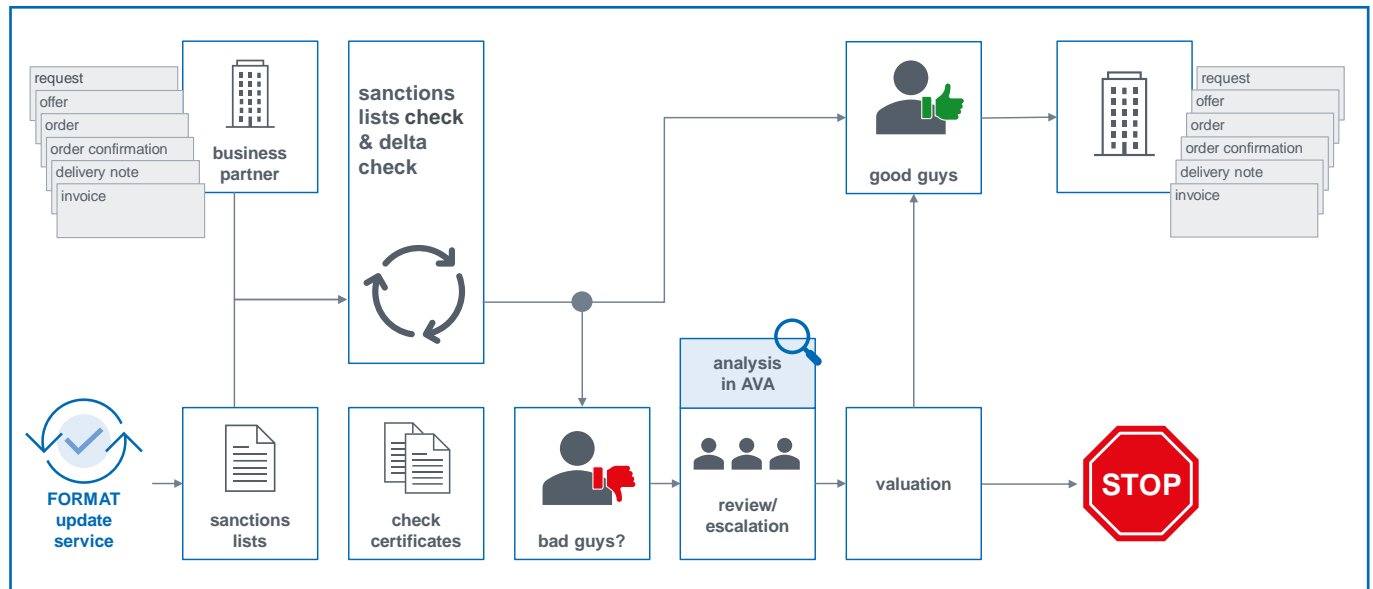
### Secure benefits

- **Secure:** Thanks to our content team and the algorithm, your business contacts are checked against the sanctions list data at the highest level.
- **Reliable:** Regular automated list updates and reviews ensure you are always up to date.
- **Situation-appropriate:** Whether at the reception desk or while travelling – check your contacts right away on the spot, even before establishing business relations.
- **Traceable:** Thanks to complete and gapless documentation, all checks and decisions can be traced back over years and are stored with comments in the history.



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### Licence model (excerpt)

- Data centre solution (hosting) or on-premise (in-house)
- Number of addresses to be checked
- Number of users / clients
- Number of interfaces
- Selection of lists
- Language interfaces
- Escalation module
- Visitor module
- AVA (Advanced Visual Analyzer for Entities and Relationship)

### Technical functionalities

- Language: English / German (others upon request)
- Capable of processing different data formats (e.g. CSV, XML, ODBC, SOAP)
- Returns validated results
- Update monitoring / history
- Decision and decision matrix logged

### Company profile

We at FORMAT Software Service GmbH have been developing, distributing and supporting software solutions for foreign trade, customs clearance and shipping since 1988. Our extensive product range covers the most diverse areas of customs management. We offer a selection of various licensing models.

Over 100 employees are actively dedicated to further development at FORMAT led by General Manager Horst Scharf. Our company is a member of the Federal Association of Customs Software Manufacturers (BVZH).

### FORMAT product portfolio

Export control • Tariff classification • Electronic customs tariff • Dual-use • Sanctions list checks  
 Import • Export • NCTS • EMCS • Shipping • Packing station • Logistics • Supply chain management  
 Track & trace • Confirmation of arrival • Customs warehouse • Intrastat • Outward / inward processing  
 Preference calculation • Supplier declarations • ATLAS-ZELOS • Request for information  
 Data conversion • VAT ID checks

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